

DRAFT TEXT
on
SBSTA 48.2 agenda item 4 and SBI 48.2 agenda item 11
Report of the Adaptation Committee
SBI 48.2 agenda item 12
Matters relating to least developed countries

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**Draft decision text on matters referred to in paragraphs 41,
42 and 45 of decision 1/CP.21**

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

A. Recognition of adaptation efforts of developing countries

[*Recalling* decision 1/CP.21, paragraph 41, that requests the Adaptation Committee and the Least Developed Countries Expert Group to jointly develop modalities to recognize the adaptation efforts of developing countries, as referred to in Article 7, paragraph 3, of the Paris Agreement,]

[*Recognizing* Article 7, paragraph 14(a), that states that the global stocktake referred to in Article 14 shall, inter alia, recognize adaptation efforts of developing country Parties,]

1. *Notes* the existing work of the Adaptation Committee and the Least Developed Countries Expert Group related to synthesizing information on specific adaptation themes and lessons learned and good practices;

2. [*Decides* that the following modalities may be considered, as appropriate, in the context of the global stocktake, without prejudging the outcomes of the discussions under agenda item 6 of the Ad Hoc Working Group on the Paris Agreement on the sources of inputs and modalities of the global stocktake;]

3. *Requests* the secretariat, under the guidance of the Adaptation Committee and the Least Developed Countries Expert Group:

(a) To prepare a synthesis report on the adaptation efforts of developing country Parties based on the most recent adaptation[-related documents] [communications, national adaptation plans, national communications, nationally determined contributions], the events referred to in paragraph 4 below and the reports referred to in paragraph 5 below, and any other relevant reports prepared under the transparency framework [The synthesis report should include but not be limited to information on risk and vulnerability, adaptation actions, resources used on adaptation actions, adaptation plans and policies to enable understanding of collective efforts and progress towards the global goal on adaptation];

(b) To organize [in the global stocktake] a high-level event informed by the reports referred to in paragraphs 3(a) above and 5 below in order to provide developing country Parties with an opportunity to present their adaptation efforts, in a nationally determined manner, [following a country-driven approach,] for recognition;

(c) To prepare a [non-prescriptive] summary of the high-level event taking into account the information contained in the synthesis report referred to in paragraph 3(a) above and any other input to be determined by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;

4. *Decides* to make use of existing national, regional and global events, including NAP Expos and adaptation forums, to showcase the adaptation efforts of developing country Parties [to adaptation practitioners];

5. *Requests* the secretariat, under the guidance of the Least Developed Countries Expert Group and the Adaptation Committee[, with inputs from Parties] and in collaboration with relevant entities under [and outside] the Convention, to prepare synthesis reports on specific adaptation themes [requested by the Conference of the Parties serving as the meeting of the

Parties to the Paris Agreement], focusing on lessons learned and good practices in developing country Parties and drawing on the outcomes of the events and outputs referred to in paragraphs 3 and 4 above;

6. [*Decides*, during the political phase of the global stocktake, to recognize the adaptation efforts presented, following a country-driven approach, by developing country Parties in the high-level event referred to in paragraph 3(b) above;]

7. [*Acknowledges* that the recognition of efforts in the framework of the global stocktake is linked to the collective progress towards achieving the purpose of the Paris Agreement on adaptation, including the global goal on adaptation;]

B. Review of the work of adaptation-related institutional arrangements under the Convention

8. *Decides* that the Adaptation Committee and the Least Developed Countries Expert Group shall serve the Paris Agreement;

9. *Assigns* any future emerging adaptation-related work necessary for the effective implementation of the Paris Agreement to existing institutions;

10. [[*Encourages*][*Requests*] institutional arrangements related to finance, technology development and transfer and capacity building,¹ in accordance with their mandates, to strive for a balance between adaptation and mitigation, while respecting a country driven approach;]

11. *Invites* partner organizations of the Nairobi work programme on impacts, vulnerability and adaptation to climate change, in collaboration with the AC, to support the work of other adaptation-related institutional arrangements, in particular through providing relevant scientific and technical information and sharing knowledge;

12. *Requests* [the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention² and] the Least Developed Countries Expert Group, in accordance with their mandates, as appropriate, ensuring efficiency and consistency, to work together on training for assessing vulnerability and other aspects of adaptation;

13. *Requests* the Adaptation Committee, as necessary and in consultation with relevant adaptation-related institutions, to continue to make recommendations to enhance collaboration and promote coherence and synergies with a view to addressing the evolving adaptation-related needs of the Parties;

14. *Encourages* Parties to make available sufficient resources for the successful and timely implementation of the work of adaptation-related institutions under the Convention and the Paris Agreement;

C. Methodologies for assessing adaptation needs with a view to assisting developing countries without placing undue burden on them

15. [*Requests* the Subsidiary Body for Scientific and Technological Advice to develop guidelines by 2021 on the ways of improving the applicability of a suite of methodologies to identify adaptation needs. As input to the development of guidelines further invite the IPCC to develop a special report on existing methodologies for assessing adaptation needs, including methods and tools for assessing adaptation support needs by XXX;]

16. [*Requests* the Adaptation Committee and Least Developed Countries Expert Group to conduct and develop an inventory of relevant methodologies for assessing adaptation needs,

¹ The institutional arrangements on finance include the operating entities of the Financial Mechanism of the Convention and the Paris Agreement.

² Subject to the outcomes of the review of Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention.

including needs related to action, financial, capacity-building and technological support which also includes consideration of the information contained in the UNFCCC “Compendium on methods and tools to evaluate impacts of, and vulnerability and adaptation to, climate change” and the information from the Adaptation Committee’s desk review;]

17. [*Invites* developing country Parties to provide inputs on the development and implementation of methodologies for assessing adaptation needs, including needs for action and support, which will be included in the inventory of paragraph 16 above;]

18. [*Invites* partner organizations of the Nairobi work programme on impacts, vulnerability and adaptation to climate change, in collaboration with users and developers of relevant methodologies, including academia and the private sector to provide inputs in the development of methodologies for assessing adaptation needs, and to document and disseminate good practices and lesson learned in relation to the implementation of those methodologies and their applicability;]

19. *Invites* all relevant entities to further improve the applicability of existing methodologies and tools for assessing adaptation needs related to action, financial, capacity-building and technological support;

20. *Invites* the World Meteorological Organization, through its Global Framework for Climate Services, with a view to facilitating the development and application of methodologies for assessing adaptation needs, to regularly inform the Subsidiary Body for Scientific and Technological Advice about its activities to improve the availability and accessibility of comprehensive climate information, including observational data, and about how it facilitates the provision and dissemination of the most up-to-date climate model predictions and projections;

21. *Also invites* the Paris Committee on Capacity-building and providers of capacity-building, as appropriate and in accordance with their mandates, in further enhancing capacity-building efforts, to facilitate accessing and implementing methodologies for assessing adaptation needs in developing countries in the context of support for building the capacity to adapt;

D. Facilitation of the mobilization of support

Option 1

22. [*Requests* the Standing Committee on Finance, the Technology Executive Committee and the Paris Committee on Capacity-building, in accordance with their mandates, and taking into account the recommendations of the Adaptation Committee and the Least Developed Countries Expert Group, as well as relevant submissions made by Parties and admitted observer organizations, ongoing relevant work under the Convention, and work being conducted by Parties, to prepare recommendations for facilitating the mobilization of support for adaptation in developing countries in the context of the limit to the global average temperature increase for consideration and adoption at its third session;]

23. [*Requests* that in developing the recommendations referred to in paragraph 22 above, the relevant entities consider the principle of equity and the best available science;]

24. [*Requests* that in developing the recommendations referred to in paragraph 22 above, the relevant entities ensure the availability of predictable and sustainable financial resources and technical support, establish processes to identify new and additional resources and address uncertainties surrounding long-term financial flows and technical support;]

25. [*Invites* developing country Parties to further enhance their enabling environments, policy frameworks, institutions and national public financial management systems with a view to improving access to international public support and to enhancing the involvement of the private sector;]

26. *Invites* [developed country] Parties, United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to assist the least developed country Parties and other developing country Parties, drawing on

the work of the Adaptation Committee, the Least Developed Countries Expert Group and other relevant bodies, as appropriate, in building and/or strengthening enabling environments, policy frameworks, institutions and national public financial management systems to mobilize support for adaptation, in particular capacity-building, including as part of the process to formulate and implement national adaptation plans;

27. [*Invites* developing country Parties to assess and prioritize their adaptation needs, including by taking into consideration methodologies for assessing adaptation needs;³]

28. *Invites* Parties to continue engaging in adaptation planning processes and the implementation of actions, including the process to formulate and implement national adaptation plans;

29. [*Invites* developing country Parties to take advantage of the resources available through the operating entities of the Financial Mechanism to strengthen their institutional capacity to programme their priority climate actions as well as to track and report climate finance;]

30. *Invites* Parties and relevant actors to ensure, as appropriate, that steps are taken to identify and subsequently remove perverse incentives that could result in non-resilient investments and planning decisions;

31. *Requests* Parties to report on support provided and received in line with the reporting instruments and modalities being developed under the Paris Agreement;

32. [*Requests* the Financial Mechanism to enhance the financial support for adaptation, as well as to [ensure][seek] that all the financial support provided to developing country Parties is balanced between adaptation and mitigation activities;]

33. [*Requests* the Technology Mechanism and the Paris Committee on Capacity Building to enhance and facilitate the support in technology transfer and capacity building for adaptation in developing country Parties, promoting balance between adaptation and mitigation activities;]

34. [*Requests* the secretariat to prepare, as one of the sources of input to the global stocktake, a collective assessment of the support needs for adaptation of developing country Parties on the basis of their most recent adaptation communication or other adaptation-related documents, with the aim of facilitating the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase;]

Option 2

22. [*Invites* the Standing Committee on Finance to consider ways to enhance the mobilization of finance for adaptation, as appropriate and in accordance with its mandate;]

23. *Invites* Parties to further enhance their enabling environments, policy frameworks, institutions and national public financial management systems with a view to improving access to international public support and to enhancing the involvement of the private sector;

24. *Invites* Parties, United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to assist the least developed country Parties and other developing country Parties, drawing on the work of the Adaptation Committee, the Least Developed Countries Expert Group and other relevant bodies, as appropriate, in building and/or strengthening enabling environments, policy frameworks, institutions and national public financial management systems to mobilize support for adaptation, in particular capacity-building, including as part of the process to formulate and implement national adaptation plans;

³ Including those considered in response to decision 1/CP.21, paragraph 42(b). See also AC documents AC/2016/13, AC/2017/4 and AC/2017/12, available at <https://unfccc.int/topics/adaptation-and-resilience/groups-committees/adaptation-committee/joint-ac-and-leg-mandates-in-support-of-the-paris-agreement>.

25. [Invites [developing country] Parties to assess and prioritize their adaptation needs, including by taking into consideration methodologies for assessing adaptation needs;⁴]
26. *Invites* Parties to continue engaging in adaptation planning processes and the implementation of actions, including the process to formulate and implement national adaptation plans;
27. *Noting* the resources available through the operating entities of the Financial Mechanism to strengthen their institutional capacity to programme their priority climate actions as well as to track and report climate finance;
28. *Invites* Parties and relevant actors to ensure, as appropriate, that steps are taken to identify and subsequently remove perverse incentives that could result in non-resilient investments and planning decisions;
29. *Requests* Parties to report on support provided and received in line with the reporting instruments and modalities being developed under the Paris Agreement;
30. [Invites Parties and relevant actors to aim to achieve a balance between support for adaptation and mitigation, taking into account country-driven strategies, and the priorities and needs of developing country Parties;]
31. [Invites Parties and relevant actors to mainstream adaptation considerations into broader development-related support;]

E. Reviewing the adequacy and effectiveness of adaptation and support

Option 1

35. *Welcomes* the technical work undertaken by the Adaptation Committee and the Least Developed Countries Expert Group in collaboration with the Standing Committee on Finance, including the desk review of existing methodologies and the synthesis of submissions;⁵
36. *Notes* the constraints encountered by the Adaptation Committee and the Least Developed Countries Expert Group in developing methodologies, including the differences in national circumstances of adaptation, the difficulties in setting adaptation baselines and targets, and the lack of common metrics for measuring progress on adaptation;
37. *Also notes* that the current state of knowledge is not sufficient to address the mandate and requires time and effort to advance;
38. *Invites* Parties, academia and others to undertake further technical work building on the existing work of the Adaptation Committee and the Least Developed Countries Expert Group [, in collaboration with the Standing Committee on Finance,] and taking into consideration ongoing relevant work under and outside the Convention, with a view to developing methodologies for reviewing the adequacy and effectiveness of adaptation and support;
39. *Also invites* the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee on Finance, and relevant experts to contribute to the technical work referred to in paragraph 38 above by:

⁴ Including those considered in response to decision 1/CP.21, paragraph 42(b). See also AC documents AC/2016/13, AC/2017/4 and AC/2017/12, available at <https://unfccc.int/topics/adaptation-and-resilience/groups-committees/adaptation-committee/joint-ac-and-leg-mandates-in-support-of-the-paris-agreement>.

⁵ See AC-LEG documents AC-LEG/2016/2, AC-LEG/2017/2 and AC-LEG/2017/3, available at <https://unfccc.int/topics/adaptation-and-resilience/groups-committees/adaptation-committee/joint-ac-and-leg-mandates-in-support-of-the-paris-agreement>, for details on the technical work undertaken.

Alt 1

(a) Continuing the analysis of methodologies for reviewing the adequacy and effectiveness of adaptation and support, taking into consideration the submissions referred to in paragraph 40 below;

(b) Exchanging information on methodologies, including metrics, for reviewing the adequacy and effectiveness of adaptation and support, and making it available;

Alt 2

Providing a compilation of existing methodologies and tools, used to develop monitoring and evaluation and learning systems/frameworks, for reviewing the adequacy and effectiveness of adaptation and support, taking into account the context specific nature of adaptation;

40. *Further invites* Parties, organizations in the United Nations system and other relevant organizations, as well as bilateral and multilateral agencies, to submit to the Adaptation Committee and the Least Developed Countries Expert Group information on gaps, challenges, opportunities and options associated with methodologies for reviewing the adequacy and effectiveness of adaptation and support.

Option 2

35. *Decides* that the following information should be considered by Parties in the review of the adequacy and effectiveness of adaptation action and support in the context of the global stocktake:

- (a) Adaptation needs, plans and strategies;
- (b) Enabling environments and policy frameworks;
- (c) Frameworks used for assessing the effectiveness of adaptation efforts;
- (d) Efforts and systems to monitor and evaluate the effectiveness of adaptation efforts;
- (e) Support through all instruments and channels, including domestic, international, public and private sources;
- (f) Progress towards the implementation/achievement of adaptation goals, plans and strategies.
